

WANG, H., and CHANSON, H. (2018). "Modelling Upstream Fish Passage in Standard Box Culverts: Interplay between Turbulence, Fish Kinematics, and Energetics." *River Research and Applications*, Vol. 34, No. 3, pp.244-252 (DOI: 10.1002/rra.3245) (ISSN 1535-1467).

## **Modelling Upstream Fish Passage in Standard Box Culverts: Interplay between Turbulence, Fish Kinematics and Energetics**

Hang Wang and Hubert Chanson

*School of Civil Engineering, The University of Queensland, Brisbane QLD 4072, Australia*

Email: [h.chanson@uq.edu.au](mailto:h.chanson@uq.edu.au)

### **Abstract**

Box culverts are common hydraulic structures along rivers and streams, in rural and urban water systems. The expertise in fish-friendly culvert design is limited, sometimes leading to adverse impact on the catchment eco-system or to un-economical structures. Basic dimensional considerations highlight a number of key parameters relevant to any laboratory modelling of upstream fish passage, including the ratio of fish speed fluctuations to fluid velocity fluctuations, the ratios of fish dimensions to turbulent length scale and the fish species. Alternately the equation of conservation of momentum may be applied to an individual fish, yielding some deterministic estimate of instantaneous thrust and power expended during fish swimming, including the associated energy consumption. The rate of work required by the fish to deliver thrust is proportional to the cube of the local fluid velocity, and the model results demonstrate the key role of slow-velocity regions in which fish will minimise their energy consumption when swimming upstream.

**Keywords:** box culverts; upstream fish passage; fish-turbulence interplay; dimensional analysis; energy considerations; bed slope effect.

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### **Introduction**

A culvert is a covered channel designed to allow the passage of flood waters beneath an embankment, for example a roadway or railroad. Current designs are very similar to ancient designs, e.g. Roman road culverts (O'Connor 1993, Chanson 2002), and characterised by some significant afflux at design flows (Henderson 1966). The afflux is the rise in the upstream water level caused by the presence of the culvert and it is a measure of upstream impoundment. In terms of hydraulic engineering, the optimum size is the smallest barrel size allowing for inlet control operation (Herr and Bossy 1965, Chanson 1999). The barrel, or throat, is the narrowest section of the culvert. The final culvert designs are very diverse, using various shapes and construction materials determined by stream width, peak flows, stream gradient, road direction and minimum cost (Henderson 1966, Hee 1969, Australian Standard 2010) (Fig. 1). In turn this results in a wide diversity in flow patterns (Hee 1969).

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While the culvert discharge capacity derives from hydrological and hydraulic engineering considerations, the final design often results in large velocities in the barrel, creating some fish passage barrier and loss in stream connectivity (Wyzga et al. 2009, Diebel et al. 2015). During the last three decades, a recognition of the ecological impact of culverts on streams and rivers led to changes in culvert design guidelines (Behlke et al. 1991, Chorda et al. 1995, Hajdukiewicz et al. 2017)). For some applications, baffles may be installed along the barrel invert to provide some fish-friendly alternative (Duguay and Lacey 2014, Chanson and Uys 2016). Unfortunately, baffles can reduce drastically the culvert discharge capacity (Larinier 2002, Olsen and Tullis 2013).

The interactions between swimming fish and vortical structures were first mentioned by Leonardo Da Vinci (Keele 1983, p. 185). These interactions are complicated, and naive '*turbulence metrics cannot explain all the swimming path lines or behaviors*' (Goettel et al. 2013). The turbulent flow patterns constitute a determining factor characterising the capacity of the culvert structure to pass successfully targeted fish species. A seminal discussion emphasised the role of secondary flow motion (Papanicolaou and Talebbeydokhti 2002).

Laboratory studies of fish swimming in turbulent flows are commonly be conducted. The hydraulic modelling aims to find optimal solutions of real-world problems (Novak and Cabelka 1984). Major differences between up-scaled model estimates and prototype observations may result due to the lack of standardised methods (Cotel and Webb 2015, Katopodis and Gervais 2016). A recent contribution hinted that '*a proper study of turbulence effects on fish behaviour should involve, in addition to turbulence energetics, consideration of fish dimensions in relation to the spectrum of turbulence scales*' (Nikora et al. 2003).

On another hand, fish tend to minimise their energy expenditure during upstream culvert passage and may use different strategies (Blake 1983, Videler 1993). Power and energy expenditure calculations were proposed (e.g. Behlke et al. 1991, Crowder and Diplas 2002), but current models lack detailed hydrodynamic and fish kinematic data for accurate results.

Herein, the modelling of upstream passage of fish in box culverts is reviewed, with a focus on the interplay between turbulence and fish, and the implications in terms of laboratory modelling and swimming energetics. Dimensional analysis provides a number of important dimensionless parameters relevant to laboratory studies, highlighting practical limitations for extrapolation. Fish kinematics and energetic considerations are developed in the context of fish passage in a culvert barrel. Energy consumption minimisation is discussed during upstream fish passage, including the impact of barrel invert slope.

## Laboratory studies, similarity and dimensional considerations

In experimental fluid mechanics, a laboratory model study is to provide reliable predictions of the flow properties of the associated full-scale structure (Novak and Cabelka 1994, Liggett 1994, Foss et al. 2007). Any physical study in laboratory must be based upon the basic concept and principles of similitude, to ensure a reliable and accurate extrapolation of the laboratory model results. In any dimensional analysis of the hydrodynamics, the relevant dimensional parameters include the fluid properties, physical constants, channel geometry and initial flow conditions (Henderson 1966, Liggett 1994). Considering the simplistic case of a steady turbulent flow in a box culvert barrel operating in free-surface flow, a dimensional analysis yields a series of relationships between the flow properties at a location (x,y,z) and the upstream flow conditions, channel geometry and fluid properties:

$$d, \bar{V}, v', p, L_t, T_t, \dots = F_1(x, y, z, B, k_s, \theta, h_b, L_b, d_l, V_l, v_l', \rho_w, \mu_w, \sigma, g, \dots) \quad (1)$$

where  $d$  is the flow depth,  $V$  is the velocity,  $v'$  is a velocity fluctuation,  $p$  is the pressure,  $L_t$  and  $T_t$  are integral turbulent length and time scales,  $x$ ,  $y$  and  $z$  are respectively the longitudinal, transverse and vertical coordinates,  $B$  is the channel width,  $k_s$  is the equivalent sand roughness height of the culvert barrel boundary,  $\theta$  is the angle between the culvert invert and horizontal,  $h_b$  and  $L_b$  are respectively the height and longitudinal spacing of simplistic baffles,  $d_1$ ,  $V_1$  and  $v_1'$  are respectively the inflow depth, velocity and velocity fluctuation,  $\rho_w$  and  $\mu_w$  are the water density and dynamic viscosity,  $\sigma$  is the surface tension,  $g$  is the gravity acceleration.

The  $\Pi$ -Buckingham theorem states that a dimensional equation with  $N$  dimensional variables may be simplified in an equation with  $N-3$  dimensionless variables, when the Mass, Length and Time units are used among the  $N$  dimensional variables (Liggett 1994, Foss et al. 2007). In turn, Equation (1) may be rewritten:

$$\frac{d}{d_c}, \frac{V_x}{V_c}, \frac{v_x'}{V_c}, \frac{p}{\rho_w \times g \times d_c}, \frac{L_t}{d_c}, T_t \times \sqrt{\frac{g}{d_c}} \dots = F_2 \left( \begin{array}{l} \frac{x}{d_c}, \frac{y}{d_c}, \frac{z}{d_c}, \\ \frac{B}{d_c}, \theta, \frac{k_s}{d_c}, \frac{h_b}{d_c}, \frac{L_b}{d_c}, \\ \frac{d_1}{d_c}, \frac{V_1}{\sqrt{g \times d_1}}, \frac{v_1'}{V_1}, \\ \rho_w \times \frac{V \times D_H}{\mu_w}, \frac{g \times \mu_w^4}{\rho_w \times \sigma^3}, \dots \end{array} \right) \quad (2)$$

where  $d_c$  is the critical flow depth:  $d_c = (Q^2/(g \times B^2))^{1/3}$ ,  $V_c$  is the critical flow velocity,  $Q$  is the water discharge and  $D_H$  is the equivalent pipe diameter, or hydraulic diameter. In Equation (2), right handside term, the 7th term is the inflow Froude number  $Fr_1$ , the 8th and 9th terms are the Reynolds number  $Re$  and Morton number  $Mo$  respectively. Herein the Morton number is introduced because it is a constant in most hydraulic model studies, when air and water are used in both laboratory experiment and prototype flows.

Traditionally hydraulic model studies are performed using geometrically similar model (Liggett 1994, Chanson 1999). If any geometric, kinematic or dynamic similarity is not fulfilled, scale effects may take place. Scale effects yield discrepancies between the model data extrapolation and prototype performances. In a physical model, true similarity can be achieved only if each dimensionless parameter or  $\Pi$ -term has the same value in both model and prototype:

$$\begin{aligned} Fr_m &= Fr_p \\ Re_m &= Re_p \\ Mo_m &= Mo_p \end{aligned} \quad (3)$$

where the subscripts  $m$  and  $p$  refer to the laboratory model and full-scale conditions respectively. Open channel flows including culvert flows are traditionally investigated based upon a Froude similarity because gravity effects are important (Henderson 1966, Novak and Cabelka 1994, Liggett 1994). In practice, the Froude and Morton similarities are simultaneously employed with the same fluids, air and water, used at full scale and in laboratory. In turn the Reynolds number is grossly underestimated in laboratory: e.g., the Reynolds numbers of about  $2 \times 10^5$  and  $5 \times 10^3$  in the laboratory models seen in Figures 2A and 2B respectively, compared to a full-scale flow seen in Figure 1D corresponding to  $Re \approx 2 \times 10^7$ .

A dimensional analysis may be similarly conducted for the fish motion in a turbulent flow

(Alexander 1982, Blake 1983). Considering the simplified motion of a fish travelling upstream in a prismatic box culvert barrel with a steady turbulent flow, dimensional considerations yield a series of relationships between the fish motion characteristics at a location (x,y,z), fish characteristics, channel boundary conditions, turbulent flow properties, fluid properties and physical constants:

$$\bar{U}, u', O_2, \tau_f, \dots = F_3 \left( \begin{array}{l} x, y, z, \\ L_f, l_f, h_f, \rho_f, \text{specie}, \\ B, k_s, \theta, h_b, L_b, \\ d, V, v', L_t, T_t, \\ \rho_w, \mu_w, \sigma, g, \dots \end{array} \right) \quad (4)$$

where  $U$  is the fish speed for a fixed observer positive upstream since this study is concerned with the upstream fish passage,  $u'$  is a fish speed fluctuation,  $O_2$  is the oxygen consumption,  $\tau_f$  is the fish response time,  $L_f$ ,  $l_f$  and  $h_f$  are respectively the fish length, thickness and height,  $\rho_f$  is the fish density. Note that Equation (4) ignores the effects of fish fatigue. The application of the  $\Pi$ -Buckingham theorem implies that Equation (4) may be rewritten in dimensionless form as:

$$\frac{U}{V_c}, \frac{u'}{v'}, O_2, \frac{\tau_f}{T_t}, \dots = F_4 \left( \begin{array}{l} \frac{x}{d_c}, \frac{y}{d_c}, \frac{z}{d_c}, \\ \frac{L_f}{L_t}, \frac{l_f}{L_t}, \frac{h_f}{L_t}, \frac{\rho_f}{\rho_w}, \text{specie}, \\ \frac{B}{d_c}, \frac{k_s}{d_c}, \theta, \frac{h_b}{d_c}, \frac{L_b}{d_c}, \\ Fr, Re, Mo, \frac{L_t}{d_c}, T_t \times \sqrt{\frac{g}{d_c}}, \dots \end{array} \right) \quad (5)$$

The result, i.e. Eq. (5), highlights a number of key parameters and variables relevant to the upstream fish passage in a turbulent culvert barrel flow. These include the ratio  $u'/v'$  of fish speed fluctuations to fluid velocity fluctuations, the ratio  $\tau_f/T_t$  of fish response time to turbulent time scales, the ratios of fish dimension to turbulent length scale and the fish species. From the point of view of fish motion in turbulent culvert flow, the laboratory model will behave like the full-scale culvert if the key relevant dimensionless parameters are identical in laboratory and at full scale.

A few studies recorded quantitative detailed characteristics of both fish motion and fluid flow (Nikora et al. 2003, Plew et al. 2007). Fewer investigations reported fish speed fluctuations and fluid velocity fluctuations, and fish response time and integral time scales (Wang et al. 2016a). Yet these results suggested that a number of key parameters, including the ratios  $u'/v'$ ,  $\tau_f/T_t$  and  $L_f/L_t$  are scale dependant when the same fish are used in laboratory and in the field. In other words, a complete similarity between laboratory data and full-scale observations may be unattainable, and one must seek either an incomplete similitude, approximate estimate, or alternative approach.

### Fish kinematics and energy consumption

A different modelling technique may be derived by analogy with sport physics (Clanet 2013). Considering a fish swimming upstream in a culvert barrel, detailed records of its motion and

trajectory provide critical information on fish locomotion dynamics that can be used to calculate energy expenditure, with significant implications for the understanding of energetics and biomechanics of aquatic propulsion (Lauder 2015). Assuming some carangiform propulsion, the primary forces acting on each fish include the thrust force, gravity force, buoyancy force, shear/drag force, lift force, virtual mass force. Newton's law of motion applied to a fish yields:

$$m_f \times \frac{d\vec{U}}{dt} = \vec{F}_{\text{thrust}} - \vec{F}_{\text{drag}} - \vec{F}_{\text{inertial}} - m_f \times \vec{g} + \vec{F}_{\text{lift}} + \vec{F}_{\text{buoyancy}} \quad (6)$$

where  $m_f$  is the fish mass. The buoyancy and lift forces act along the normal direction: i.e., perpendicular to the flow streamlines, and their contribution in the longitudinal x-direction are zero. The drag force acts along the flow direction. It includes a skin friction component plus a form drag component. The skin friction is associated with a boundary layer development along the fish surfaces, while the form drag is linked to the vortex development and turbulence dissipation in the wake of the fish (Schultz and Webb 2002). For a fish swimming upstream, in a streamtube and neglecting the virtual mass force, Newton's law of motion applied to the fish in the longitudinal x-direction yields in first approximation:

$$m_f \times \frac{\partial U_x}{\partial t} = F_{\text{thrust}} - F_{\text{drag}} - m_f \times g \times \sin \theta \quad (7)$$

where the main forces acting on the fish are the thrust  $F_{\text{thrust}}$ , drag force  $F_{\text{drag}}$ , and the last term is the gravity force component in the flow direction. For a fish in motion, the drag force may be expressed as:

$$F_{\text{drag}} = C_d \times \rho_w \times (\overline{U_x + V_x})^2 \times A_f \quad (8)$$

where  $C_d$  is the drag coefficient,  $U_x$  is the longitudinal fish speed component positive upstream,  $V_x$  is the longitudinal fluid velocity component at the fish location positive downstream,  $A_f$  is the projected area of the fish.  $\overline{U_x + V_x}$  is the mean relative fish speed over a control volume, basically a stream tube, selected such that the lateral surfaces are parallel to the streamlines and that it extends up to the wake region's downstream end (Lighthill 1969, Alexander 1982) (Fig. 3B).

An estimate of drag coefficient  $C_d$  might be derived from high-resolution trajectory data when the fish drifts. During drifting in a horizontal channel, the fish deceleration is driven by the drag force, and Newton's law of motion becomes (Blake 1983):

$$m_f \times \frac{\partial U}{\partial t} \approx -C_d \times \rho_w \times (\overline{U_x + V_x})^2 \times A_f \quad (9)$$

In turn, the product  $C_d \times A_f$  may be derived from the rate of deceleration and relative fish speed, assuming implicitly that the form drag is identical during glide and during thrust, and unaffected by body motion. Figure 3A shows an example of time-variation of fish speed and acceleration during a drift event, for a fish swimming next to the corner between a rough sidewall and rough invert. For that particular drift event,  $C_d \times A_f = 4.3 \times 10^{-3} \text{ m}^2$ .

The rate of working of the fish and its time-variations may be estimated with a fine temporal scale, within the time-series accuracy (Eq. (7)). The power that the fish expends during swimming is the product of the thrust and relative fish speed. Neglecting efforts spent during lateral and upward motion, the mean rate of work by the fish is (Lighthill 1960, Behlke et al. 1991):

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$$P = F_{\text{thrust}} \times (U_x + V_x) \quad (10)$$

with  $P$  the instantaneous power spent by the fish to provide thrust and  $(U_x + V_x)$  is the local relative fish speed, at the fish location. Combining with Equations (7) and (8), it yields:

$$P = \left( m_f \times \frac{\partial U}{\partial t} + C_d \times \rho_w \times (\overline{U_x + V_x})^2 \times A_f + m_f \times g \times \sin \theta \right) \times (U_f + V_x) \quad (11)$$

Equation (11) expresses the instantaneous rate of working by the fish, to counterbalance the effects of inertia, drag and gravity. It may be calculated from measured fish acceleration, fish speed and fluid velocity time-series. The finding shows in particular that the rate of working increases with increasing relative fish speed, and the drag component increases with the cube of the relative fish speed  $(U_x + V_x)^3$ .

The energy spent by the moving fish during a time  $T$  is

$$E = \int_{t=0}^T P \times dt \quad (12a)$$

where  $t$  is the time. Combining with Equation (11):

$$E = \int_{t=0}^T \left( m_f \times \frac{\partial U}{\partial t} + C_d \times \rho_w \times (\overline{U_x + V_x})^2 \times A_f + m_f \times g \times \sin \theta \right) \times (U_f + V_x) \times dt \quad (12b)$$

If  $T$  is the transit time of a fish in a culvert structure, Equation (12) gives an quantitative estimation of the work spent by the fish to navigate upstream through the culvert, although it does not account for any heat transfer and fish metabolism. Note however that any application of Equation (12) relies upon detailed time series data of fish acceleration, fish speed and fluid velocity.

## Application

Detailed fish kinematic data and fluid dynamics data were obtained in a 12 m long 0.5 m wide open channel equipped with a rough invert, rough left sidewall and smooth right sidewall (Wang et al. 2016a). The rough boundaries consisted of rubber mats with square patterns: 0.0482 m by 0.0482 m for the bed, and 0.0375 m by 0.0375 m for the left sidewall, the water surface elevation being measured from the top of the rubber mats. The dimensionless boundary shear stress, expressed in terms of equivalent Darcy-Weisbach friction factor, was about  $f = 0.07$  to  $0.12$ , compared to  $f = 0.015$  to  $0.017$  for the smooth PVC bed configuration in the same flume. Fish swimming tests were performed with juvenile silver perch (*Bidyanus bidyanus*) and adult Duboulay's rainbowfish (*Melanotaenia duboulayi*) in the rough bed and rough sidewall channel at  $24.5 \pm 0.5$  Celsisu (Wang et al. 2016a). Figure 4A presents a typical individual fish trajectory time series, with the fish mass and length, and flow conditions listed in caption, and with  $x$  the longitudinal co-ordinate positive downstream and  $z$  the vertical elevation above the bed. For that time series, the fish swam against the current, next to the rough corner of the channel, exhibiting a carangiform locomotion. Visual observations and velocity time series showed that the fish motion consisted of some quasi-stationary motion where fish speed fluctuations were small and short upstream bursts facilitated by a few strong tail-beats. The instantaneous power and

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energy spent by the moving fish to provide thrust were calculated for the trajectory data seen in Figure 4A. The results are shown in Figure 4B. On average, the mean rate of work by the fish was 0.21 W, with a standard deviation of 0.065 W. The first, second and third quartiles were 0.175 W, 0.207 W and 0.241 W respectively. The maximum instantaneous power spent by the fish to provide thrust reached 3.3 W. Overall the power distribution was skewed with a preponderance of small values relative to the mean (Fig. 4C). The energy spent by the fish to provide thrust was 21 J for the entire trajectory (100 s long).

The experimental data may be extrapolated to a 10 m long box culvert barrel, which would be typical of small road culvert structures in eastern Australia, equipped similarly with rough barrel boundary. Assuming a similar behaviour to the trajectory data shown in Figure 4A, the energy spent by the fish to provide thrust would be 2130 J (i.e. 509 calories) to negotiate the 10 m long horizontal culvert barrel.

## Discussion

While basic dimensional analysis points to the intrinsic limitations of laboratory investigations at small scales, energetic considerations paved the way for a deterministic method to quantify accurately the power and energy expended by a moving fish, to counterbalance the drag, inertia and gravity forces, as the fish swims upstream in a culvert barrel. The analytical model provides an improved understanding of the implications of propulsion type and associated power requirements. The mathematical development shows in particular that the work spent by the moving fish is proportional to the cube of the relative fish speed (Eq. (12)). Thus it is strongly linked to the local fluid velocity  $V_x$ . The results may be applied to develop new physically-based design guidelines and to account for the effects of bed slope.

### *Design considerations*

Basic reasoning showed that the rate of work and work required to deliver thrust is proportional to the cube of the local fluid velocity (Eq. (11) & (12)). Thus fish will minimise their energy consumption by swimming upstream in slow-velocity regions. A reduction in 20% in fluid velocity is associated with a 60% reduction in power that the fish expends during swimming. The result is valid in both horizontal and sloping barrel invert, implying that fish-friendly culvert design must provide sizeable slow-velocity regions to facilitate upstream fish passage.

Fish-friendly culvert designs may consist of channel configurations which provide sizeable slow-velocity regions and maximise secondary currents all along the culvert barrel, without increasing the total head loss in the structure. Wang et al. (2016b) tested a design consisting of a very-rough bed and very-rough sidewall, for the full-length of the barrel. Detailed velocity measurements showed the existence of sizeable slow-velocity regions next to the left rough sidewall and at the corner between the rough bed and sidewall, which might be suitable to the upstream passage of small body mass fish. Wang et al. (2017) tested several baffles designs in a standard box culvert model. One configuration, a series of corner baffles, assisted with the development of recirculation zones between each baffle, with a small increase (10%) in afflux at design discharge, and might be suitable to small-body-mass fish species.

### *Effect of bed slope on culvert design*

Kinematic and energetic considerations show that the culvert bed slope impacts on the energy spent

by the fish during upstream culvert passage. Additional energy is required with increasing slope  $\theta$  because of the increased contribution of the gravity force to the dissipated power by the fish to provide thrust, as well as by the increase in fluid velocity, hence in relative fish speed. This might be particularly detrimental to weak-swimming fish species.

The effect of bed slope on the rate of working by the fish is two-fold. First it increases the gravity force component ( $m \times g \times \sin \theta$ ). Second a positive downward bed slope will yield an increased fluid velocity. In first approximation, the fluid velocity increases as (see Appendix):

$$\frac{\partial V}{\partial \theta} \approx \frac{1}{3} \times \sqrt[3]{\frac{8 \times g}{f}} \times q \times (\sin \theta)^{-2/3} \quad (13)$$

where  $f$  is the Darcy-Weisbach friction factor,  $q$  is the discharge per unit width area and  $g$  is the gravity acceleration.

Assuming that the fish trajectory is un-affected by the channel slope, and that the local flow conditions satisfy Equation (13), the effects of bed slope  $\theta$  on the rate of working and the energy spent by the fish to provide thrust may be tested (Eq. (11) & (12)). The calculations may be extended to a broader range of bed slope for a 10 m long culvert, assuming that the fish trajectory would follow the trajectory shown in Figure 4A, irrespective of fish mass and bed slope. Herein calculations were conducted for bed slope  $\theta = 0$  to  $1^\circ$ : that is, for  $S_o = \sin \theta = 0$  to 1.75%. Such a range of slopes would encompass most typical mild slope flood plains, while the steepest slopes would correspond to a steep flood plain. Results are shown in Figure 5, for two types of small fish, defined by the fish mass  $m_f$  and product  $C_d \times A_f$  of drag coefficient by projected area. The first type would be typical of very small fish species, like Duboulay's rainbowfish (*Melanotaenia duboulayi*), while the second type would correspond to slightly larger fish species. Within the implicit assumptions, the results suggest that the channel slope affects significantly the instantaneous power and energy spent by the fish to provide thrust during upstream culvert passage (Fig. 5). The required energy increases monotonically with the bed slope. For this culvert system, the work spent by the fish is about 6 times larger when the bed slope increases from  $S_o = 0$  to 1.75% for both fish types 1 and 2.

## Conclusion

Culvert structures may constitute barriers, adversely affecting the upstream passage of many fish species, with direct implications in terms of catchment bio-diversity. It is argued that fish-turbulence interactions may facilitate upstream fish migration, although any optimum design must be based upon a detailed characterisation of both hydrodynamics and fish kinematics. Dimensional considerations highlighted a number of key relevant parameters to assist with upstream fish passage and its laboratory modelling. These included the ratio of fish speed fluctuations to fluid velocity fluctuations, the ratio of fish response time to turbulent time scales, the ratios of fish dimension to turbulent length scale, and the fish species. The latter is a most important variable, since design guidelines developed for one species might be inadequate for other species. Basically any physical experiment must be designed in such a manner that these key dimensionless parameters are the same in laboratory and full-scale culvert structure.

The application of the equation of conservation of momentum provides a deterministic method to quantify the fish thrust and instantaneous power expended by a fish to provide thrust. The power and work required to deliver thrust is proportional to the cube of the local fluid velocity. In a culvert barrel, fish can minimise their energy consumption by swimming upstream in slow-velocity



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regions. Using kinematic data recorded with fine spatial and temporal resolution, the associated energy consumption may be estimated and the effects of bed slope can be tested. It is believed that the present approach paves the way for an improved knowledge of fish-turbulence interplay relevant to upstream fish passage in culverts. This is significant given the recent efforts to design cost-effective fish-friendly box culverts.

In principle the proposed approach is general and may be applied to other conditions, such small hydropower systems, baffled chutes, small groyne systems. In practice, however, reliable results require relatively simple geometries, for which the relative fish speed, i.e. both the fluid velocity and fish speed, can be accurately determined and measured.

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## Appendix. Relationship between fluid velocity and bed slope in a box culvert

At uniform equilibrium in an open channel, the water depth  $d$  and the cross-sectional averaged velocity  $V$  are constant independently of the longitudinal position for a prismatic channel. The application of the momentum equation in the  $x$ -direction yields a relationship between the normal velocity and bed slope for a wide rectangular channel (Henderson 1966):

$$V = \sqrt[3]{\frac{8 \times g}{f} \times q \times \sin \theta} \quad (\text{A-1})$$

where  $f$  is the Darcy-Weisbach friction, and  $q$  is the discharge per unit width:  $q = Q/B$ .

In gradually-varied steady flows, the backwater equation for a flat channel assuming hydrostatic pressure distribution expresses the variation with distance of the water depth  $d$ :

$$\frac{\partial d}{\partial x} \times \left( 1 - \frac{Q^2}{g \times B^2 \times d^3} \right) = \sin \theta - \frac{f}{D_H} \times \frac{V^2}{2 \times g} \quad (\text{A-2})$$

Using the continuity equation, it becomes:

$$V = \sqrt[3]{\frac{8 \times q}{f} \times \left( g \times \sin \theta + V \times \frac{\partial V}{\partial x} \times \left( \frac{g \times d}{V^2} - 1 \right) \right)} \quad (\text{A-3})$$

Assuming that  $V \times \partial V / \partial x$  is very small, Equation (A-3) may be rewritten in a form which holds for both uniform equilibrium flow and gradually-varied flows:

$$\frac{\partial V}{\partial \theta} \approx \frac{1}{3} \times \sqrt[3]{\frac{8 \times g}{f} \times q \times (\sin \theta)^{-2/3}} \quad (\text{A-4})$$

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If the fluid velocity is  $V_o$  on a horizontal slope, assuming for a small bed slope ( $\sin\theta \approx \theta$ ), the linearisation of Equation (A-4) gives:

$$V \approx V_o + \frac{1}{3} \times \sqrt[3]{\frac{8 \times g}{f} \times q \times \sin \theta} \quad (\text{A-5})$$

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Figure 1. Standard culvert structures.

(A) Inlet (Left) and outlet (Right) of a 3-cells box culverts in News South Wales (Australia)



(B) Box culvert outlets in Australian Capital Territory



(C) Pipe culvert outlet in Australian Capital Territory



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(D) Multi-cell box culvert operation in Brisbane (Australia) on 31 December 2001 early morning. Discharge estimated to 60-80 m<sup>3</sup>/s ( $Re \approx 2 \times 10^7$ ). Inlet with flood flow direction from right to left.





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Figure 2. Laboratory studies of fish passage in culvert barrel with roughened invert.

(A) Open channel flow in a 12 m long channel:  $B = 0.5$  m,  $\theta = 0$ ,  $Q = 0.026$  m<sup>3</sup>/s,  $d = 0.12$  m,  $Re = 2 \times 10^5$ , flow direction from background to foreground

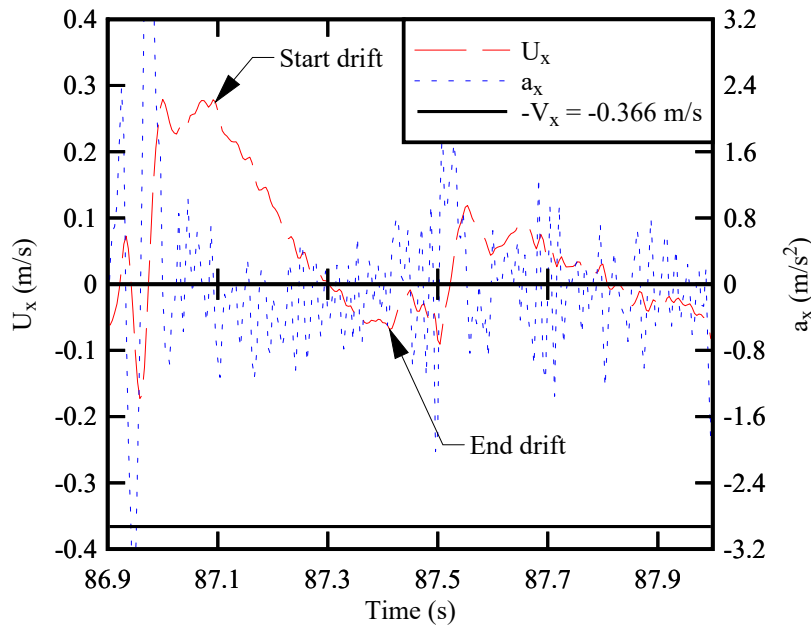


(B) Recirculation water tunnel:  $B = 0.25$  m,  $\theta = 0$ ,  $V \sim 0.05$  m/s,  $d = 0.26$  m, flow motion from left to right

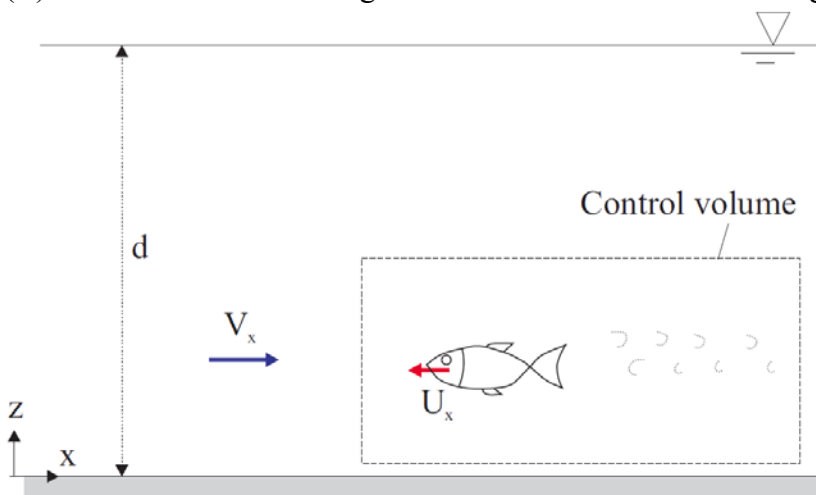


Figure 3. Estimate of drag coefficient during fish drift

(A) Time-variation of Duboulay's rainbowfish speed and acceleration during a drift event - Data: Wang et al. (2016a), individual No. 22,  $m_f = 3.6$  g,  $L_f = 72$  mm, fish swimming along a rough sidewall, local flow conditions:  $V_x = +0.366$  m/s,  $v_x' = 0.315$  m/s,  $\theta = 0$ .



(B) Definition sketch of drag force estimate on a fish swimming upstream against the current

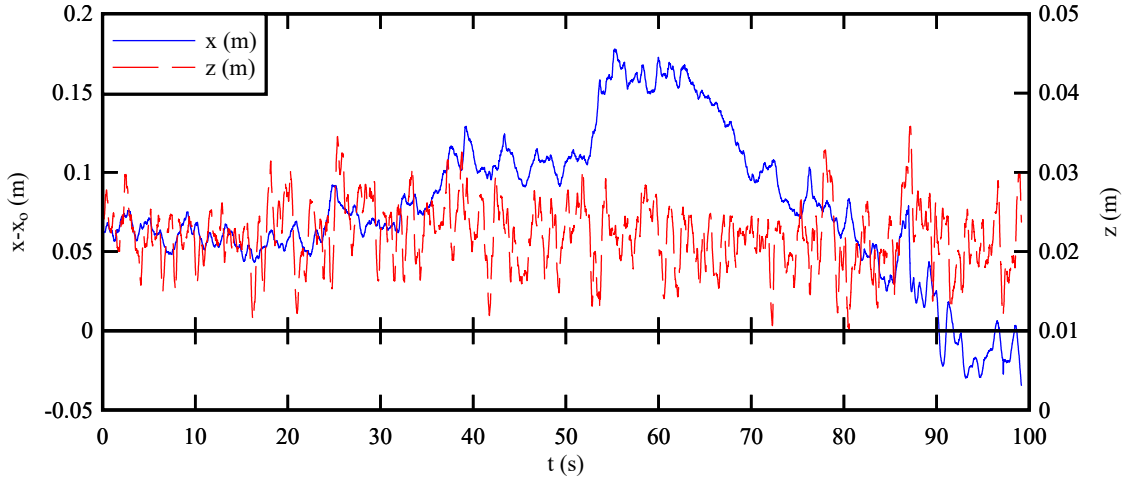




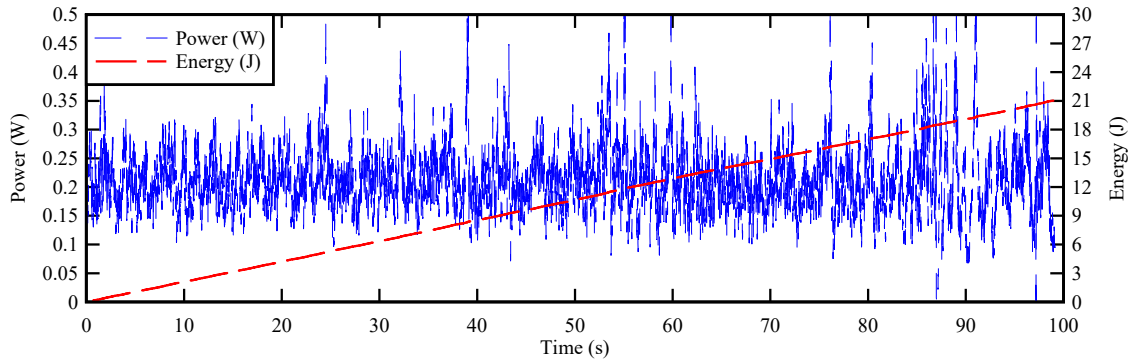
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Figure 4. Time-variations of fish position and power expended during fish swimming in a 12 m long 0.5 m wide open channel - Data: Wang et al. (2016a), Duboulay's rainbowfish No. 22,  $m_f = 3.6$  g,  $L_f = 72$  mm,  $C_d \times A_f = 4.3 \times 10^{-4} \text{ m}^2$  -  $Q = 0.0261 \text{ m}^3/\text{s}$ ,  $\theta = 0$ , fish swimming along a rough sidewall, local fluid flow conditions:  $d = 0.14$  m,  $V_x = +0.366$  m/s,  $v_x' = 0.315$  m/s.

(A) Time-variations of longitudinal and vertical fish position



(B) Time-variations of instantaneous power  $P$  and energy  $E$  spent by the fish to provide longitudinal thrust during the above trajectory



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(C) Probability distribution function of instantaneous power  $P$  spent by the fish to provide longitudinal thrust during the above trajectory

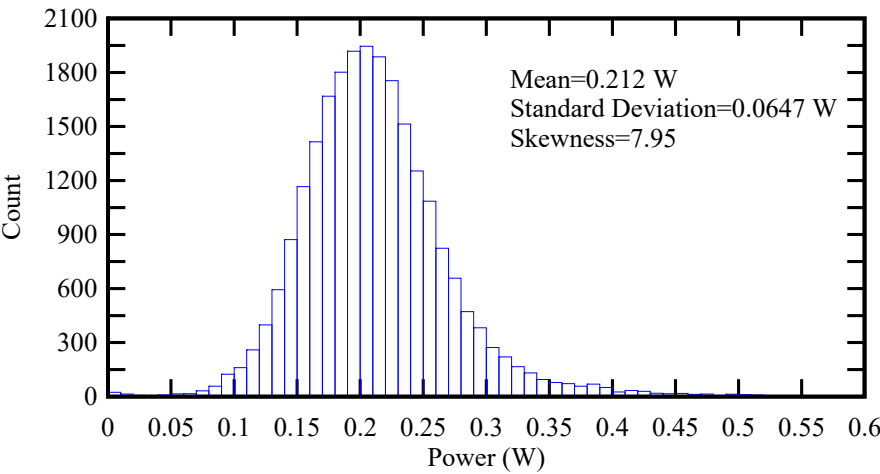
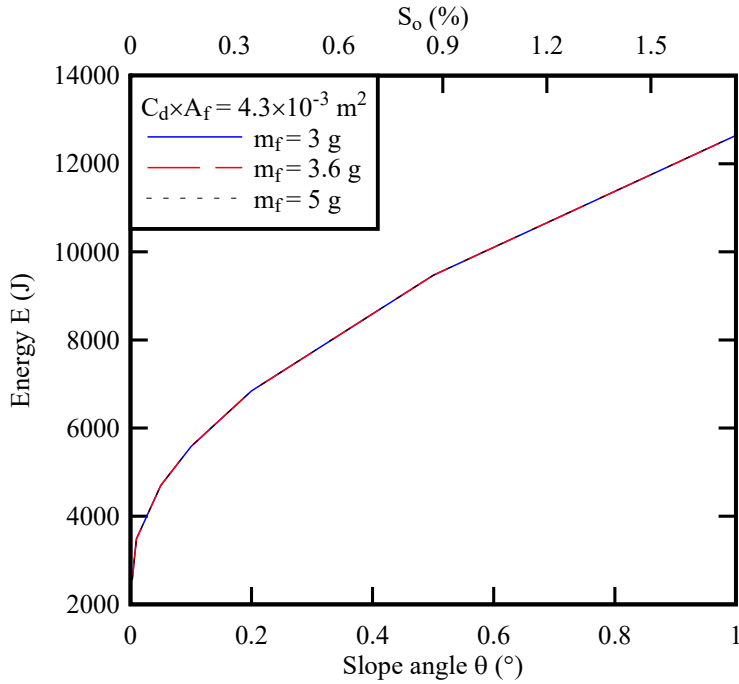


Figure 5. Energy  $E$  spent by a fish to provide longitudinal thrust along a 10 m long culvert barrel as a function of the bed slope, assuming a fish trajectory shown in Figure 4A.

(A) Small fish No. 1:  $m_f = 3\text{-}5\text{ g}$ ,  $C_d \times A_f = 4.3 \times 10^{-3}\text{ m}^2$



(B) Small fish No. 2:  $m_f = 30\text{-}70\text{ g}$ ,  $C_d \times A_f = 0.1\text{ m}^2$

